

07-24-07

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Docket No.: 12088/019001  
(PATENT)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of:  
Shinichi Kawasaki et al.

Application No.: 10/500,317

Confirmation No.: 9863

Filed: June 28, 2004

Art Unit: 1763

For: PLASMA FILM FORMING SYSTEM

Examiner: R. Zervigon

**INFORMATION DISCLOSURE STATEMENT (IDS)**

MS Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 CFR 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the references listed on the attached PTO/SB/08. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the references be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement is filed before the mailing date of a first Office Action after the filing of a Request for Continued Examination under 37 CFR 1.114 (37 CFR 1.97(b)(4)).

Full translations and summary/abstract translations of the non-English language references are enclosed.

In accordance with 37 CFR 1.98(a)(2)(ii), Applicant has not submitted copies of U.S. patents and U.S. patent applications. Applicant submits herewith copies of foreign patents and non-patent literature in accordance with 37 CFR 1.98(a)(2).

A concise explanation of relevance of the items listed on form PTO/SB/08 is given for only non-English language listed items.


In accordance with 37 CFR 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists. In accordance with 37 CFR 1.97(h), the filing of this Information Disclosure Statement shall not be construed to be an admission that any patent, publication or other information referred to therein is "prior art" for this invention unless specifically designated as such.

It is submitted that the Information Disclosure Statement is in compliance with 37 CFR 1.98 and the Examiner is respectfully requested to consider the listed references.

The Director is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith to our Deposit Account No. 50-0591, under Order No. 12088/019001.

Dated: July 23, 2007

Respectfully submitted,

By  #45,079  
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(Based on PTO 04-07 version)

Substitute for form 1449/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)		<b>Complete if Known</b>			
		Application Number	10/500,317-Conf. #9863		
		Filing Date	June 28, 2004		
		First Named Inventor	Shinichi Kawasaki		
		Art Unit	1763		
		Examiner Name	R. Zervigon		
Sheet	1	of	2	Attorney Docket Number	12088/019001

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	AA*	US-5,185,132	02-09-1993	Horiike et al.	Corresponding to BC

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>6</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>5</sup>
	BA	JP-7-245192	09-19-1995	Seiko Epson Corp		✓
	BB	JP-5-89451	12-07-1993	Miyagi Oki Electric Co Ltd et al.		
	BC	JP-63-19571	02-09-1988	NEC Corporation		
	BD	JP-2002-75692	03-15-2002	Unaxis Balzer AG		✓
	BE	JP-7-62546	03-07-1995	Shinko Electric Co LTD		✓
	BF	JP-2002-176050	06-21-2002	Sekisui Chemical Co Ltd		✓
	BG	JP-4-358076	12-11-1992	Japan Res Dev Corp	Corresponding to AB	
	BH	JP-8-321399	12-03-1996	Tadahiro Omi et al.		✓
	BI	JP-2001-259409	09-25-2001	Seiko Epson Corp	Patent Abstracts of Japan filed 11/14/06	
	BJ	JP-09-92493	04-04-1997	Seiko Epson Corp	Patent Abstracts of Japan filed 11/14/06	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. \* CITE NO.: Those application(s) which are marked with an single asterisk (\*) next to the Cite No. are not supplied (under 37 CFR 1.98(a)(2)(iii)) because that application was filed after June 30, 2003 or is available in the IFW. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	CA	Patent Abstracts of Japan, Publication no. 07-245192, dated September 19, 1995, (1 page)	
	CB	Concise explanation of relevance for Japanese Utility Model Laid-Open No. H05-089451 (1 page)	
	CC	Concise explanation of relevance for Japanese Utility Model Laid-Open No. S63-019571 (1 page)	
	CD	Patent Abstracts of Japan, Publication no. 2002-075692, March 15, 2002 (2 pages)	
	CE	Patent Abstracts of Japan, Publication no. 07-062546, dated March 7, 1995, (2 pages)	
	CF	Patent Abstracts of Japan, Publication no. 2002-176050, dated June 21, 2002, (2 pages)	
	CG	Supplementary explanation of relevance for Japanese Patent Application Laid-Open No. H04-358076 (1 page)	



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(Based on PTO 04-07 version)

<p>Substitute for form 1449/PTO</p> <p><b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b></p> <p><i>(Use as many sheets as necessary)</i></p>				<b>Complete if Known</b>	
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				Art Unit	1763
				Examiner Name	R. Zervigon
Sheet	2	of	2	Attorney Docket Number	12088/019001

	CH	Patent Abstracts of Japan, Publication no. 08-321399, dated December 3, 1996, (2 pages)	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.



Application No. (if known): 10/500,317

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IDS (Citation) by Applicant (18 References) (2 pages)  
Information Disclosure Statement (2 pages)  
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